

091-267138

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	July 31, 2016
Estimated average burden hours per response:	3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- | | | |
|----|---|----------------------------|
| 1. | Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Cboe BZX Exchange, Inc. | SEC |
| 2. | Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker, dealer, etc.):
See Attached. | Mail Processing
Section |
| 3. | Class of New Derivative Securities Product:
See Attached. | DEC 23 2019 |
| 4. | Name of Underlying Instrument:
See Attached. | Washington DC
415 |
| 5. | If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attached. | |
| 6. | Ticker Symbol(s) of New Derivative Securities Product:
See Attached. | |
| 7. | Market or Markets Upon Which Securities Comprising Underlying Instrument
Trades: See Attached. | |
| 8. | Settlement Methodology of New Derivative Securities Product:
Trades Locked in at Exchange and settled at NSCC. | |
| 9. | Position Limits of New Derivative Securities Product (if applicable):
Not Applicable. | |



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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Kyle Murray

Securities and Exchange Commission
Trading and Markets

Title: VP, Associate General Counsel

Telephone Number: 913-815-7121

Manual Signature of Official Responsible for Form:

Date: December 20, 2019

DEC 26 2019

RECEIVED

Securities Exchange Act of 1934

Section 19b-4
Rule 19b-40

Public Availability: DEC 23 2019

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON CBOE BZX EXCHANGE, INC. COMMENCING ON
DECEMBER 20, 2019

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
RAFE	Trust	Investment Company Units	RAFI ESG US Index	U.S.	Broad
FLSP	Trust	Investment Company Units	Equity Securities	World	Broad
NUSI	Trust	Investment Company Units	Equity Securities	World	Broad



December 20, 2019

Ms. Claudette Ransom
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

SEC
Mail Processing
Section

DEC 23 2019

Washington DC
415

RE: Form 19b-4(e) – Cboe BZX Exchange, Inc.

Ms. Ransom,

On behalf of Cboe BZX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on Cboe BZX Exchange on December 20, 2019. Please contact me if you have any questions in connection with this matter.

Sincerely,

A handwritten signature in black ink, appearing to read 'Kyle Murray', written over a horizontal line.

Kyle Murray
VP, Associate General Counsel
913.815.7121

Securities and Exchange Commission
Trading and Markets

DEC 26 2019
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